

11 September 2015

Our Ref. FAR Cttee 9.15

Your ref:

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To: Members of the Finance, Audit and Risk Committee

Councillors: Michael Weeks (Chairman), John Booth (Vice-Chairman),
John Bishop, Simon Harwood, Lorna Kercher, Jim McNally
and Deepak Sangha.

Substitutes: Councillors: Allison Ashley, Clare Billing and Nicola Harris.

You are invited to attend a meeting of the

FINANCE, AUDIT AND RISK COMMITTEE

to be held in

**COMMITTEE ROOMS 1 AND 2
COUNCIL OFFICES, GERON ROAD,
LETCWORTH GARDEN CITY**

On

THURSDAY, 24 SEPTEMBER 2015

at

7.30 p.m.

Yours sincerely,



David Miley - Democratic Services Manager

AGENDA
PART 1

ITEM	PAGE
1. APOLOGIES FOR ABSENCE	-
2. MINUTES To take as read and approve as a true record the Minutes of the Meeting of this Committee held on 15 June 2015.	-
3. NOTIFICATION OF OTHER BUSINESS Members should notify the Chairman of other business which they wish to be discussed by the Committee at the end of the business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency. The Chairman will decide whether the item(s) raised will be considered.	-
4. CHAIRMAN'S ANNOUNCEMENT Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chairman of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest which requires they leave the room under Paragraph 7.4 of the Code of Conduct, can speak on the item, but must leave the room before the debate and vote.	-
5. PUBLIC PARTICIPATION To receive petitions, comments and questions from the public. At the time of preparing the agenda no requests to speak had been received. Any public participation received within the agreed time scale will be notified to Members as soon as is practicable.	-
6. INFORMATION TECHNOLOGY (IT) STRATEGY 2015-2020 PRESENTATION BY HEAD OF REVENUES, BENEFITS AND IT <i>To consider a presentation on the proposed NHDC Information Technology (IT) Strategy 2015-2020.</i>	1
7. PROGRESS REPORT ON THE HERTFORDSHIRE SHARED ANTI-FRAUD SERVICE REPORT OF THE HEAD OF REVENUES, BENEFITS AND IT <i>To consider a progress report on the Hertfordshire Shared Anti-Fraud Service.</i>	47
8. MANAGING ORGANISATIONAL CONFLICTS IN COUNCIL ROLES AND DUTIES – DRAFT GUIDANCE REPORT OF THE ACTING SENIOR LAWYER <i>To comment on the attached draft Guidance "Managing Organisational Conflicts in Council roles and duties", prior to consideration by Cabinet.</i>	53
9. AUDIT FINDINGS REPORT FOR NHDC 2014/15 REPORT FROM GRANT THORNTON <i>To consider an Audit Findings report 2014/15 from the Council's External Auditor.</i>	65

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10. SHARED INTERNAL AUDIT SERVICES – ANNUAL REPORT 2014/15 REPORT OF THE SHARED INTERNAL AUDIT SERVICES AUDIT MANAGER <i>To consider the Shared Internal Audit Services Annual Report for 2014/15.</i>	95
11. SHARED INTERNAL AUDIT SERVICES – UPDATE ON PROGRESS AGAINST THE 2015/16 AUDIT PLAN REPORT OF THE SHARED INTERNAL AUDIT SERVICES AUDIT MANAGER <i>To consider an update on the progress of the Shared Internal Audit Services (SIAS) against the 2015/16 Audit Plan.</i>	113
12. RISK MANAGEMENT UPDATE REPORT OF THE HEAD OF FINANCE, PERFORMANCE AND ASSET MANAGEMENT <i>To consider a Risk Management Update.</i>	129
13. ANNUAL GOVERNANCE STATEMENT FOR 2014/15 REPORT OF THE HEAD OF FINANCE, PERFORMANCE AND ASSET MANAGEMENT <i>To consider the Final Annual Governance Statement for 2014/15.</i>	141
14. STATEMENT OF ANNUAL ACCOUNTS 2014/15 REPORT OF THE STRATEGIC DIRECTOR OF FINANCE, POLICY AND GOVERNANCE <i>To consider the Statement of Accounts 2014/15.</i>	165
15. FIRST QUARTER REVENUE MONITORING 2015/16 REPORT OF THE STRATEGIC DIRECTOR OF FINANCE, POLICY AND GOVERNANCE <i>To consider the First Quarter Revenue Budget Monitoring 2015/16.</i>	279
16. FIRST QUARTER CAPITAL MONITORING 2015/16 REPORT OF THE STRATEGIC DIRECTOR OF FINANCE, POLICY AND GOVERNANCE <i>To consider the First Quarter Capital Programme Monitoring 2015/16.</i>	293
17. TREASURY MANAGEMENT FIRST QUARTER 2015/16 REPORT OF THE STRATEGIC DIRECTOR OF FINANCE, POLICY AND GOVERNANCE <i>To consider the First Quarter Treasury Management Monitoring 2015/16.</i>	307
18. FUTURE MEETINGS – POSSIBLE AGENDA ITEMS Introduced by the Chairman.	-

The remaining dates on which the Finance, Audit and Risk Committee will meet in the 2015-2016 Civic Year are:

2015

Thursday 10 December

2016

Monday 25 January; Wednesday 10 February; Thursday 24 March